

Identification Pack

If you are not an existing customer of the Commonwealth Bank Group (including CommSec) and you are applying for an investment in Capital Series *OzAsia*, you need to complete this Identification Pack.

Anti Money Laundering & Counter Terrorism Financing laws require Commonwealth Bank to establish the identity of its customers. Please complete the appropriate form below.

Identification For	What you need to do
Individuals and Sole Traders	<p>Please complete the <i>Certified Copies Identification Form</i> and provide us with the certified* copies of acceptable identification documents, together with your Application Form.</p> <p>Certified Copies Identification Form</p> <p>If the Application is being made in joint names, both Applicants must complete the Certified Copies Identification Form.</p>
Trusts and Trustees (including Superannuation Funds)	<p>Please complete the <i>Trust Information and Identification</i> form and provide us with the certified documents below, together with your Application Form.</p> <p>Trust Information and Identification</p> <p>You will need to provide us with the following:</p> <ul style="list-style-type: none"> • A certified* copy of the front page of the Trust Deed that shows the trust name; • A certified* copy of the schedule of the Trust Deed that shows the trustees; and • The signature page of the Trust Deed. <p>Each Individual Trustee will also need to complete the <i>Certified Copies Identification Form</i>.</p> <p>Certified Copies Identification Form</p> <p>Company Trustees will also need to complete the <i>Company Information and Identification</i> form.</p> <p>Company Information and Identification</p>
Companies	<p>Please complete the <i>Company Information and Identification</i> form and return to the Bank with your Application Form.</p> <p>Company Information and Identification</p> <p>Commonwealth Bank will perform the Australian company verification procedure. If we cannot access this information, we may ask you to provide us with further information.</p> <p>Each Director will also need to complete the <i>Certified Copies Identification Form</i>.</p> <p>Certified Copies Identification Form</p>

* How to certify acceptable identification documents

The certified copy must include a statement “**I certify this to be a true and correct copy of the original document**” (or similar). The certifier must include their **full name, signature and qualification or occupation**.

List of eligible persons who can certify identification documents

- Barrister or solicitor
- Judge or magistrate
- CEO of a Commonwealth Court
- Court Registrar/deputy registrar
- Justice of the Peace
- Public notary
- Police officer
- Australian diplomat or consular officer
- Australia Post permanent employee*
- Australia Post agent
- Financial institutions officer *
- Finance company officer *
- Officer or authorised representative with AFSL*
- Member* of:
 - a) Institute of Chartered Accountants;
 - b) CPA Australia; or
 - c) National Institute of Accountants

* 2 years or more service / membership required

Please attach the completed identification forms to your Application Form and return both of these to Commonwealth Bank at:

Commonwealth Securities
Locked Bag 34
Australia Square NSW 1214

If you have any questions about the Identification Pack, please contact us on 13 15 20 (8am to 5pm AEST, Monday to Friday).